OF THE STATE OF IOWA

IN THE MATTER OF)	
JAY R. BUCKLEY, D.D.S.)	NOTICE OF HEARING AND STATEMENT OF CHARGES
RESPONDENT.)	

COMES NOW the Iowa Dental Board (Board) and files this Notice of Hearing and Statement of Charges pursuant to Iowa Code sections 17A.12(2), 17A.18(3), and 650 Iowa Administrative Code (IAC) 51.6. Respondent was issued Iowa dental license number 6782 on July 1, 1982. Respondent's license is current and will next expire on August 31, 2014. Respondent's address as reported to the Board is 6768 Douglas Avenue, Des Moines, Iowa 50322.

A. TIME, PLACE AND NATURE OF HEARING

- 1. <u>Hearing</u>. A disciplinary contested case hearing shall be held on May 10, 2013, before the Iowa Dental Board. The hearing shall begin at 8:30 a.m. and shall be located in the conference room at the office of the Iowa Dental Board, 400 SW 8th Street, Ste. D, Des Moines, Iowa.
- 2. <u>Answer</u>. Within twenty (20) days of the date you are served this Notice of Hearing and Statement of Charges you are required by 650 IAC 51.12(2) to file an Answer. The Answer should specifically admit, deny, or otherwise answer all allegations contained in sections C and D of this Notice of Hearing and Statement of Charges. Pleadings shall be filed with the Board at the following address: Iowa Dental Board, 400 SW 8th Street, Ste. D, Des Moines, Iowa 50309.

- 3. <u>Presiding Officer</u>. The Board shall serve as presiding officer, but the Board may request an Administrative Law Judge make initial rulings on pre-hearing matters, and be present to assist and advise the Board at hearing.
- 4. <u>Hearing Procedures</u>. The procedural rules governing the conduct of the hearing are found at 650 IAC chapter 51. At hearing, you may appear personally or be represented by legal counsel at your own expense. You will be allowed the opportunity to respond to the charges against you, to produce evidence on your behalf on issues of material fact, cross-examine witnesses present at the hearing, and examine and respond to any documents introduced at hearing. If you need to request an alternative time or date for hearing, you must comply with the requirements of 650 IAC 51.18. The hearing may be open to the public or closed to the public at your discretion.
- 5. <u>Pre-hearing Conference</u>. Any party may request a pre-hearing conference to discuss evidentiary issues related to the hearing. The Board's rules regarding pre-hearing conferences are contained at 650 IAC chapter 51.17.
- 6. <u>Prosecution</u>. The Office of the Attorney General is responsible for representing the public interest (the State) in this proceeding. Copies of pleadings should be provided to counsel for the State at the following address: Theresa O'Connell Weeg, Assistant Attorney General, Iowa Attorney General's Office, 2nd Floor, Hoover State Office Building, Des Moines, Iowa 50319.
- 7. <u>Communications</u>. You may not contact Board members in any manner, including by phone, letter, or e-mail, about this Notice of Hearing and Statement of Charges. Board members may only receive information about the case when all parties

have notice and an opportunity to participate, such as at the hearing or in pleadings you file with the Board office and serve upon all parties in the case. You should direct any questions to Melanie Johnson, J.D., Executive Director at 515-281-5157.

B. LEGAL AUTHORITY AND JURISDICTION

- 1. <u>Jurisdiction</u>. The Board has jurisdiction in this matter pursuant to Iowa Code Chapters 147, 153, and 272C.
- 2. <u>Legal Authority</u>. If any of the allegations against you are founded, the Board has authority to take disciplinary action against you under Iowa Code Chapters 17A, 147, 153, and 272C and 650 IAC chapters 30 and 51.
- 3. <u>Default</u>. If you fail to appear at the hearing, the Board may enter a default decision or proceed with the hearing and render a decision in your absence, in accordance with Iowa Code Section 17A.12(3) and 650 IAC 51.22.

C. SECTIONS OF STATUTES AND RULES INVOLVED COUNT I

Respondent is charged under Iowa Code Section 153.34(4) (2011) with willful or repeated violations of the rules of the Board by failing to comply with standard precautions for preventing and controlling infectious diseases and managing personnel health and safety concerns related to infection control, as required or recommended for dentistry by the Centers for Disease Control of the United States Department of Health and Human Services (CDC), in violation of 650 Iowa Administrative Code Section 30.4(35).

COUNT II

Respondent is charged under lowa Code Section 153.34(4) (2011) with willful or repeated violations of the rules of the Board by failing to maintain sanitary conditions for a dental office by not properly sterilizing dental hand pieces, in violation of 650 lowa Administrative Code Section 30.4(17).

D. FACTUAL CIRCUMSTANCES

- Respondent is a general dentist engaged in the practice of dentistry in Des Moines, Iowa.
- 2. Respondent was previously charged by the Board in December of 1999 with failure to maintain a reasonably satisfactory standard of competency in the practice of dentistry, and unprofessional conduct. Respondent entered into a Settlement Agreement with the Board which placed him on probation for five (5) years; required him to undergo a comprehensive clinical assessment and complete a course of study to address any concerns identified in the clinical assessment; required him to complete a course in dental ethics; and required him to pass the jurisprudence examination.
- 3. Respondent was again charged by the Board in August of 2008 with obtaining a fee by fraud or misrepresentation, and failing to comply with standard precautions for preventing and controlling infectious diseases and maintaining personnel health and safety concerns related to infection control for not sterilizing hand pieces between patients. Respondent entered into a Settlement Agreement with the Board which placed him on probation for two (2) years; required him to submit

- a written office protocol for infection control to the Board for approval ensuring he will fully comply with infection control requirements in the future; and required him to pay a civil penalty in the amount of one thousand dollars (\$1,000.00).
- Iowa Code Section 153.34(8) provides that a ground for discipline includes failure to maintain a reasonably satisfactory standard of competency in the practice of dentistry.
- 5. Board rules require that a dentist maintain adequate sanitary conditions for a dental office.
- A complaint was received in July 2012 from staff at Respondent's office regarding poor infection control protocols.
- An infection control inspection was conducted at Respondent's dental practice.
 Multiple deficiencies were identified, including the failure to sterilize all dental hand pieces between patients.
- 8. Both Respondent and staff were interviewed during this inspection. Both Respondent and staff stated to an agent of the Board that not all hand pieces were being sterilized between patients.

E. SETTLEMENT

This matter may be resolved by settlement agreement. The procedural rules governing the Board's settlement process are found at 650 IAC Chapter 51.19. If you are interested in pursuing settlement of this matter, please contact Melanie Johnson, J.D., Executive Director, at 515-281-5157.

F. PROBABLE CAUSE FINDING

On this // day	of February	, 2013, the lowa Dental	Board found
probable cause to file thi	s Notice of Hearing and	Statement of Charges.	

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LYNN D. CURRY, D.D.S. Vice Chairperson Iowa Dental Board 400 SW 8th Street, Suite D Des Moines, IA 50309

cc: Theresa O'Connell Weeg
Assistant Attorney General
Iowa Attorney General's Office
2nd Floor Hoover Bldg.
Des Moines, IA 50319